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STATEMENT UNDER 37 CFR 3.73(b)				
Applicant/Patent Owner: Brett				
Application No./Patent No.:	Filed/Issue Date: _November 24, 2003			
Entitled: EPIDURAL THERMAL POSTER	RIOR ANNULOPLASTY			
Expanding Concepts, LLC	a corporation			
(Name of Assignee)	(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)			
states that it is: 1.   ■ the assignee of the entire right, title, and interest	st; or			
2.   an assignee of less than the entire right, title are The extent (by percentage) of its ownership into in the patent application/patent identified above by virial section.	erest is%			
A. [K] An assignment from the inventor(s) of the pate in the United States Patent and Trademark Office attached.	nt application/patent identified above. The assignment was recorded ce at Reel, Frame, or for which a copy thereof is			
OR				
B. [ ] A chain of title from the inventor(s), of the pater below:	nt application/patent identified above, to the current assignee as shown			
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Reel, Frame	ed States Patent and Trademark Office at, or for which a copy thereof is attached.			
[ ] Additional documents in the chain of title	e are listed on a supplemental sheet.			
[ ] Copies of assignments or other documents in the [NOTE: A separate copy (i.e., the original assignment be submitted to Assignment Division in accorded in the records of the USPTO. See MPE	ment document or a true copy of the original document) ordance with 37 CFR Part 3, if the assignment is to be			
The undersigned (whose title is supplied below) is au	thorized to act on behalf of the assignee.			
November 24, 2003	Karen Dana Oster			
Date (503) 810-2560	Typed or printed name,			
Telephone number	Signature			
	Patent Attorney 37,621			
	Title			

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Application Number	
Filing Date	November 24, 2003
First Named Inventor	Breit
Title	EPIDURAL THERMAL POSTERIOR ANNULOPLASTY
Art Unit	
Examiner Name	
Attorney Docket Number	ExpC:EpistWed

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lem the:				
Applicant/Inventor.				
Assignee of record of	the entire interest. See 37 CFR 3.71.			
Statement under 37 C	XFR 3.73(b) is enclosed. (Form PTO/SE	3/96)		
	SIGNATURE of Applica	ant or Assignee of R	lecord	
Name Darrell C. Brett	<i>Y//</i> , (,			
Signature	IV AIX (XX)			
Date 11/24/2003	[~Ψ]		Telephone (503)	253-4000
NOTE: Signatures of all the inventor forms if more than one signature is	re or exeignees of record of the entire interest required, see below.	at or their representative		-
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Application Number	STREET, GARAGE & GESPIETS & VANC CARD CONTROL RUMBOR
Filling Date	November 24, 2003
First Named Inventor	Brett
Title	EPIDURAL THERMAL POSTERIOR ANNULOPLAST
Art Unit	
Examiner Name	
Altorney Docket Number	EupC:FotaWod

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Applicant/Inventor.				
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	SIGNATURE of Appli		f Record	
Name Darrell C. Brett,	XO	of Evpanding Co	oncepts, LLC (with signing authority)	
Signature Dantell C. Dreu,	WALL COLLE	Also 1	owere, Fro (Mini alkund Bringink)	
Date 11/24/2003	perme (pass		Telephone (503) 253-4000	
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and	YRNo	EPIOURAL THERMAL POSTERIOR ANNULOPLASTY
CORRESPONDENCE ADDRESS	3S EMOURAL THERMAL POSTERIOR AND Art Units	
INDICATION FORM	Examiner Name	
	Attorney Docket Number	ExpC:EpisHtod

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Applicant/Inventor.				
Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is analysed. (Fprm PTO/SB/96)				
SIGNATURE of Applicant or Assignee of Record				
Name Darrell C. Brett, (1) of Expanding Concepts, LLC (with signing authority)				
Signature (MM) (Oversdam)				
Date 11/24/2003 (503) 253-4000				
NOTE: Signatures of all the inventors or easignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.				
Total of 2 forms are submitted	<b>.</b>			

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or return a benefit by the public which is to like (and by the USPTO to process) an application. Confidentially is governed by 15 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to late 3 manufes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Tradement Office, U.S. Department of Commence, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patenta, P.O. Box 1450, Alexandria, VA 22313-1450.

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	Filing Date	November 24, 2003
POWER OF ATTORNEY	First Harrard Inventor	Brett
and	Title	EPIDURAL THERMAL POSTERIOR ANNULOPLAST
CORRESPONDENCE ADDRESS	Art Unit	
INDICATION FORM	Examiner Name	
	<b>Attorney Docket Mumber</b>	BioC Epinologi

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Applicant/Inventor.				
Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/95)				
SIGNATURE of Applicant or Assignee of Record				
Name Darrell C. Brett				
Signature 11/24/2003	KALUXI		Telephone (5	03) 253-4000
NOTE: Signatures of all the inventors or easignose of record of the entire interest or their representative(s) are required. Submit multiple				
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## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN **APPLICATION DATA SHEET (37 CFR 1.76)**

Title of Invention	EPIDURAL THERMAL POSTERIOR ANNULOPLASTY
As the below named inventor(s), I/we declare that:	
This declaration is directed to:	
	The attached application, or
	Application No, filed on November 24, 2003
	as amended on(if applicable);
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;	
I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;	
I/we acknowledge the duly to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.	
All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisorment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.	
FULL NAME OF INV	
Inventor one: Dar	rell & Brett
Signature:	Citizen of: U.S.
Inventor han:	
	Citizen of:
Inventor three:	
Signature;	Citizen of:
Inventor four:	
	Citizen of:
Additional invent	tors or a legal representative are being named on additional form(s) attached hereto.  In is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file

(and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form analyze suggestores for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office. U.S. Operation of Commerce, P.O. Box 1450, Alexandrio, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandrio, VA 22313-1450.

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